



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

In Re Application of )

Gregory Lindhorst )

Serial No.: 09/223,773 )

Filed: December 31, 1998 )

JUL 15 2004  
Group Art Unit: 2178  
Technology Center 2100  
Examiner: A. Queler  
Atty Dkt No.: 003797.09761

FOR: A SYSTEM FOR CONVERTING EVENT-DRIVEN CODE INTO SERIALY  
EXECUTED CODE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. A copy of each of the references is attached. It is respectfully requested that the information be expressly considered, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants hereby authorize the Commissioner to charge the fee set forth in 37 C.F.R § 1.17(p) of \$180.00. If this is incorrect, the Commissioner is authorized to charge any outstanding charges or credit any overpayments to deposit account no. 19-0733.

07/09/2004 BSAYASII 00000058 190733 09223773

01 FC:1806 180.00 DA

Respectfully submitted,

By: AS 1 48303  
For Christopher R. Glembocki  
Registration No. 38,800

BANNER & WITCOFF, LTD.  
1001 G Street, N.W., 11th Floor  
Washington, D.C. 20001  
(202) 508-9100

Dated: July 6, 2004

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Substitute for form 1449A-06

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

**Complete if Known**

|                               |                          |
|-------------------------------|--------------------------|
| <i>Application Number</i>     | 09/223,773               |
| <i>Filing Date</i>            | December 31, 1998        |
| <i>First Named Inventor</i>   | Gregory Lindhorst et al. |
| <i>Art Unit</i>               | 2178                     |
| <i>Examiner Name</i>          | A. Queler                |
| <i>Attorney Docket Number</i> | 003797.09761             |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        |                          | US-5,706,502                               | 01-06-1998                     | Foley et al.                                       |   |
|                        |                          | US- 6,108,661                              | 08-22-2000                     | Caron et al.                                       |   |
|                        |                          | US- 6,421,068                              | 07-16-2002                     | Ingrassia, Jr. et al.                              |   |
|                        |                          | US- 6,421,736                              | 07-16-2002                     | Breslau et al.                                     |   |
|                        |                          | US- 6,425,120                              | 07-23-2002                     | Morganelli et al.                                  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |
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|                        |                          | US-  |                                |  |   |
|                        |                          | US-  |                                |  |   |

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## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

12/178 14  
61

**PATENT FEE TRANSMITTAL**  
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 180

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/223,773        |
| Filing Date          | December 31, 1998 |
| First Named Inventor | Gregory Lindhorst |
| Examiner Name        | A. Queler         |
| Art Unit             | 2178              |
| Attorney Docket No.  | 003797.09761      |

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Technology Center 2400

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 19-0733

Deposit Account Name: Banner & Witcoff, LTD.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Description        | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|----------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001                | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002                | 340      | 2002         | 170      | Design filing fee      |          |
| 1003                | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004                | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005                | 160      | 2005         | 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |          |              |          |                        | (\$ 0)   |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 0            | 0              | 0        |
| Independent Claims | 0            | 0              | 0        |
| Multiple Dependent | 0            | 290            | 0        |

**Large Entity**

| Fee Code | Fee (\$) | Fee Description  |
|----------|----------|--|
| 1202     | 18       | Claims in excess of 20                                     |
| 1201     | 86       | Independent claims in excess of 3                          |
| 1203     | 290      | Multiple dependent claim, if not paid                      |
| 1204     | 86       | ** Reissue independent claims over original patent         |
| 1205     | 18       | ** Reissue claims in excess of 20 and over original patent |

**Small Entity**

| Fee Code | Fee (\$) | Fee Description  |
|----------|----------|--|
| 2202     | 9        | Claims in excess of 20                                     |
| 2201     | 43       | Independent claims in excess of 3                          |
| 2203     | 145      | Multiple dependent claim, if not paid                      |
| 2204     | 43       | ** Reissue independent claims over original patent         |
| 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid |
|-----------------------------------|----------|--------------|----------|--|----------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |          |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for reexamination                                     |          |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252                              | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253                              | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254                              | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255                              | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1401                              | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402                              | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |          |
| 1403                              | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                              | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501                              | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502                              | 480      | 2502         | 240      | Design issue fee   |          |
| 1503                              | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  | 180      |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                              | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                              | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____         |          |              |          |  |          |
| *Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| <b>SUBTOTAL (3)</b> (\$ 180)      |          |              |          |  |          |

**SUBMITTED BY**

|                   |                          |                                   |              |           |              |
|-------------------|--------------------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | Christopher R. Glembocki | Registration No. (Attorney/Agent) | 38,800       | Telephone | 202-824-3000 |
| Signature         | <i>[Signature]</i>       | Date                              | July 6, 2004 |           |              |

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